

**GOLDSMITHS  
University of London**

**ACADEMIC BOARD**

**RESEARCH AND ENTERPRISE COMMITTEE**

**Minutes of the meetings held on 21 November 2012**

**Present:** Professor Jane Powell (in the Chair), Professor Les Back, Professor Nick Couldry, Dr Dejan Djokic, Professor Rosalyn George, Dr Elisabeth Hill, Dr Andrea Phillips, Professor Len Platt, Ms Julie Taylor, Ms Lynda Agili (Secretary)

**1 ANNOUNCEMENTS**

**(I) Internal Audit Review of Research Office**

The internal audit team (Kingston City Group) is currently conducting reviews of Research Office and Research Contract Management, with reports due in January. The audits will include consideration of how effectively the current structures are providing support to academic staff pre-award and post-award.

**(ii) Award of the European Commission HR Excellence in Research Badge**

As a result of the work undertaken by the Concordat Implementation Group (CIG) and the Researcher Development Group, Goldsmiths has been awarded the EC HR Excellence in Research Badge. This was greatly enabled by the work of Dr Victoria Williamson, the Strategic Researcher Development Coordinator, who undertook the 'mapping and gapping' exercise and helped to finalise the Action Plan and Codes of Practice for Researchers and Research Managers.

The Goldsmiths Code of Practice for the Career Management and Development of Contract Research Staff can be downloaded from the Research Office website. The Committee noted the importance of all HoDs and DRC Chairs becoming fully familiar with the Code and of disseminating it to new researchers and their line managers.

**(iii) Research Grants**

Goldsmiths has been awarded three European Research Council Starting grants:

- Dr Jennifer Gabrys, Sociology - *Citizen Sensing and Environmental Practice: Assessing Participatory Engagements with Environments through Sensor Technologies* – 1.5m euro
- Dr Kirsten Campbell, Sociology - *The Gender of Justice: The Prosecution of Sexual Violence in Armed Conflict* - 1.2m euro
- Dr Alpa Shah, Anthropology - *The Underbelly of the Indian Boom: Adivasis and Dalits* - 1.5m euros

Additionally a new member of staff in Sociology - Dr Daniel Neyland - will bring a further ERC Starting Grant - *MISTS: Market Based Initiatives As Solutions To Techno-Scientific Problems* €1.2m euro

Other substantial (> £100K) awards include:

- Professor Roger Burrows, Sociology: *Life in the 'Alpha Territory': London's 'Super-Rich' Neighbourhoods*; £577K ESRC award
- Professor Mark d'Inverno, Computing: Part of a consortium led by University of Barcelona for *Practice and Performance Analysis Inspiring Social Education [PRAISE]*; €615K FP7 EU award

#### **(iv) Doctoral Training Grants**

The ESRC Doctoral Training Centre will allocate approximately five fully-funded studentships to Goldsmiths in 2012/13. The College will provide full funding for a further three of the top-ranked students in this competition, with the possibility of one or two others depending on the strength of the field and financial considerations.

#### **(v) Academic Management Structure**

Six new Associate Pro-Wardens (APWs) are being appointed to increase management capacity and to strengthen the influence of Sub-Warden Advisory Groups (SWAGs) in developing College strategies. Three will have specific strategic remits relating to Research and Enterprise:

- Creative and Cultural Industries
- Research Integrity
- Graduate School

The Graduate School will be led by one of the APWs, with the other two contributing to its management under his/her overall leadership.

## **2 STANDING ORDERS AND CONFLICT OF INTERESTS POLICY**

**Received and noted:**

the College Standing Orders (12-299) and the College Policy on Conflicts of Interest (12-300)

## **3 TERMS OF REFERENCE, COMPOSITION AND MEMBERSHIP**

**Received and noted:**

the Terms of Reference, Composition and Membership of the Research and Enterprise Committee (12-450)

## **4 MINUTES**

**Resolved:**

to approve the Minutes of the Research and Enterprise Committee held on 9 May 2012

## **5 MATTERS ARISING FROM THE MINUTES**

### **Large Grants Strategy Group**

The remit of the group and Terms of Reference are included for approval at this meeting. Professor Roger Burrows has agreed to Chair the Group

### **Articulating Goldsmiths' Research Themes**

The Research Office has collated a document emerging from the WAG "awayday" in the summer, within which HoDs identified a number of potential themes. A broader consultation on these is planned during the latter half of the present academic year.

## **6 REVIEW OF RESEARCH AND ENTERPRISE STRATEGY**

### **Received:**

the updated Research and Enterprise Strategy; Table A identifies existing mechanisms which support the R&E Strategic Aims and Table B indicates actions taken in 2011-12 and/or planned for 2012-13 (12-451)

### **Noted:**

The Committee commended the work undertaken over the lifetime of the strategy and during 2011-12, and noted that it is very clearly a 'living' document. A number of minor amendments to planned actions for 2012-13 were suggested.

### **Resolved:**

To refine the action plans for 2012-13 in light of the discussion and to make the adjusted document available for the ongoing internal audit (see 1(i) above)

## **7 UPDATE ON RESEARCH EXCELLENCE FRAMEWORK (REF)**

### **Received:**

An oral update from the PWRE; reports from the Impact Case Studies Working Group [IWG], the Impact Strategy Sub-Group [ISSG] and the Public Engagement Advisory Group [PEAG] (12-452)

### **Noted:**

- The College REF Panel met in October to review data from the Dry Runs. Whilst retaining the original u-GPA output targets for most submissions, is recommending adjustment to a few, either immediately or in due course.
- Dry Run data indicated that the total volume of 3\* and 4\* work is similar to that submitted to RAE2008, despite probable reductions in the total number of staff/outputs currently identified for entry.
- All eligible staff will receive individual letters by the end of term, informing them whether they will definitely be included in a submission or whether no firm decision has yet been made in their case. An explanatory letter reminding them of the process and the Code of Practice will be accompanied by a Personal

Summary sheet giving the estimated quality ratings for each of their submitted outputs and explaining how they may request a second opinion if they consider the ratings to be inaccurate. There will be a list of FAQs on the Research Office webpage, together with a re-evaluation request form which staff are asked to complete and return to the Head of the Research Office.

- Phase 2 of the REF selection process will take place in May/June 2013, by which time all requests for re-evaluation of outputs will have been completed and any substitute (stronger) outputs will also have been evaluated. In most cases final selection decisions will be made at this point, since most potential outputs will be known. u-Grade Point Average targets may be adjusted in light of Phase 2 data and other factors. As set out in the CoP, no staff members who have already been informed that they have been selected will have this decision reversed.
- All submission leaders have been asked to send a more refined version of their REF5 Environment narrative to Research Office by mid-January so that the REF Panel can review them and advise on their further development over the remainder of this academic year. A DRC network meeting in December will provide submission leaders with information and guidance.

## **REF Group reports**

### **Impact Case Study Working Group (IWG)**

In a series of half-day workshops, the academic staff leading each impact case study [ICS] are discussing how they can be further refined in consultation with Professor Geoff Crossick and Martin Ince (the consultant journalist who will subsequently work with the academics concerned to redraft narratives in light of these discussions). The first two workshops have proved extremely constructive, and a further eight will be held across this term and next.

### **Impact Strategy Sub Group (ISSG)**

The ISSG is overseeing the Making a Difference event to be held on 12 February 2013. This will showcase research and other College activities which have implications outside academia, and the presented work/discussions will reflect Goldsmiths' values. To ensure that all departments have the opportunity for involvement there will be an exhibition running alongside the conference as well as concurrent 'fringe' events.

The Committee suggested that ISSG contribute to the development of a generic 'skeleton' Impact Strategy narrative [REF3a] to assist submission-leaders in articulating their own strategies.

### **Public Engagement Advisory Group (PEAG)**

PEAG has now held two meetings and is keen to start work on mapping public engagement activity across the College; this will, however, require some research resource.

### **Resolved**

To allocate £3,500 from the College Research Fund to employ a part-time assistant to undertake the PEAG mapping exercise.

## **8 ENTERPRISE OFFICE**

A report on Enterprise activities will be provided at the Spring Term meeting

## **9 RESEARCH DATA MANAGEMENT AND OPEN ACCESS POLICY OF RESEARCH COUNCILS UK**

### **Received:**

- amendments to the Records Management policy; and a recommendation that the College's block grant from Research Councils UK to contribute to the costs of gold Open Access for RCUK-funded research be held centrally by the Research Office (12-453)
- an oral presentation by Dr Jacqueline Cooke, Acting Librarian

### **Noted:**

- Goldsmiths will seek to comply with the recommendations of the Finch Review and related HEFCE/RCUK policies concerning open access (green or gold) to its journal publications and data. Universities are now required to promote open access [OA], particularly where journal articles have arisen from publicly-funded projects. RCUK has set a 5-year objective for 80% of the journal publications emanating from RC grants being open access.
- We are already making many of our outputs 'green' OA via Goldsmiths Research Online [GRO, our institutional repository). GRO is a sector leader in relation to the on-line repository of practice-based research outputs, and the library team is an active partner in a number of related JISC projects; it is currently investigating options to enhance the College's capacity for depositing and curating research data.
- RCUK has allocated universities block grants to contribute to the costs of 'gold' OA [in which payment to publishers of an "Article Processing Charge" – APC, on average c. £1500 – means that the published version of the article can be made freely available]. These awards are determined by the amount of RCUK grant funding received by the institution over recent years. Goldsmiths has been allocated £20,000 for 2012/2013; SMT has agreed initially to match fund this, enabling payment of APCs for all RC-funded journal articles. However this policy will be reviewed after six months and/or when the total spend within a year exceeds £40K.
- It is proposed that the Research Office will administer the process and the budget, and will take responsibility for making annual reports to RCUK which has indicated that in future compliance with their policy may become an eligibility requirement for research awards.

### **Resolved:**

To establish an Open Access Working Group, chaired by Professor Jane Powell and including Dr Jacqueline Cooke, Lynda Agili and Dr Muriel Swijghuisen Reigersberg, with the remit of developing relevant policy and procedures. It will report to both REC and SMT as appropriate.

**Recommended:**

- (i) that the amendments to the Records Management Policy (2009) be approved with effect from 1 January 2013
- (ii) that the College's block grant from Research Councils UK to support the RCUK Policy on Open Access be held centrally by the Research Office

**10 APPLICATIONS FOR FUNDING FROM THE COLLEGE RESEARCH FUND AND UNIVERSITY OF LONDON SCHOLARSHIP FUNDS**

**Received:**

(i) an application for Impact Case Study Enhancement Funding from Professor Irit Rogoff and (ii) a discussion document concerning possible ways of allocating the UoL Scholarship Funds in 2012-13 (12-454)

**Noted:**

- (i) **ICS Enhancement funding:** that the application from Professor Rogoff was to carry out activities with strong promise of strengthening the current impact case study for possible inclusion in the Art/Design/Visual Cultures REF submission

**Resolved:**

To award funding of £1,350 to Professor Rogoff, Visual Cultures

- (ii) **Distribution of UoL Scholarship funds**

**Resolved:**

For this year only, to distribute the fund directly and equally between the three SWAGs, with the requirement that they use it to support research activity (possibly including conference attendance) by early career staff and submit to REC an account of how it has been used. In future years, with the larger College Research Fund no longer being prioritised for REF preparation, REC should review its strategy and priorities for expenditure of both the College and UoL funds.

**11 CENTRES AND UNITS REPORTS**

**Received:**

Triennial Reports from Research Centres and Units and Departmental Annual Report Summaries (**H: 12-172R**)

**Noted:**

That the reports currently under consideration were in fact due to be submitted and considered last term (Summer 2012). Some were still missing or incomplete in certain respects, and it was therefore agreed to elicit as much of the missing

information prior to the next meeting. The PWRE would draw attention to the Heads of Centres and Units where information was missing that the absence of a complete report by a specified date could result in a recommendation of closure.

**Resolved:**

To seek the missing information prior to the next meeting, and to circulate the documentation electronically to facilitate discussion and decisions.

**12 PROPOSAL TO ESTABLISH THE CONTEMPORARY MUSIC RESEARCH UNIT**

**Received:**

a proposal to establish the Contemporary Music Research Unit within the Department of Music with effect from 1 January 2013 (12-455)

**Noted:**

The proposal was considered interesting, with the potential for stimulating and supporting a diverse programme of research which may in due course encourage membership from other departments; if and when this occurs, it might consider application for Centre status

**Resolved:**

To approve the establishment of the Unit with effect from 1 January 2013

**13 GRADUATE SCHOOL**

**Received:**

an oral report from the Dean of Graduate School

**Noted:**

- The CHASE consortium application for an AHRC Block Grant application is being finalised and will be submitted in January 2013
- Administrative support has been expanded via the appointment of a new secretary
- The Virtual Graduate School has been redesigned, as part of which a short film has been made to profile some of our PhD students
- Completion rates have been extremely poor, especially for students in the arts and humanities. This needs urgent attention as it jeopardises the College's prospects for obtaining future RC funding. A number of approaches to this are under consideration. In any event there needs to be a College-wide culture shift to ensure that supervisors and students are fully aware of the importance of timely completion. SMT will be emphasising this to all departments, and, with advice from the Graduate School Board, exploring ways of increasing compliance

- The Researcher Development Framework could be employed to provide more structured and practical support and guidance to PGR students with respect to their career development, thereby increasing the focus on timely completion as well as acquisition of relevant skills

## **14 RESEARCH ETHICS**

### **Received:**

the minutes of the previous Research Ethics Sub Committee [RESC] meeting and an oral report from the Chair [Professor Platt]

### **Noted:**

- Research Ethics Applications are submitted to RESC regularly throughout the year including vacation periods and in many cases cannot wait until the termly meeting is due. In order to ensure timely approval, applications are considered by RESC electronically; to avoid a lengthy wait while all RESC members consider every application there is now a system whereby the Chair and one other member approves the application virtually or seeks further information if appropriate. In most cases applications are considered within a week of receipt.
- Broader issues which emerge from individual applications and/or external directives are considered at the termly RESC meetings.
- Departmental Research Ethics Committees (DRECS) have varying approaches to internal assessments of research proposals however, the role of RESC is determined by its Terms of Reference which states that all staff College Research Ethics Applications be referred to RESC for final approval
- RESC does not currently have the capacity to consider the ethics of student-led research. This is therefore delegated to DRECs. Should there be a future requirement for RESC approval, the current structures and systems would need to be substantially readdressed.

## **15 LARGE GRANTS STRATEGY PANEL TERMS OF REFERENCE**

### **Received:**

the terms of reference for the Large Grants Strategy Panel (12-456)

### **Resolved:**

**To approve the terms of reference for the Large Grants Strategy Panel**

## **16 FREEDOM OF INFORMATION AND RESEARCH**

### **Received and noted:**

an information sheet produced by JISC which may be helpful to researchers subject to FOI requests (12-399)

## **17 ALLOCATION OF REC FUNDS 2011/12**

### **Received and noted**

a report of the allocation of REC funds in 2011/12 (12-457)

## **18 RESEARCH AND ENTERPRISE COMMITTEE ANNUAL REPORT**

### **Received:**

Research and Enterprise Committee's 2011-12 Annual Report (**M; 12-458**)

### **Resolved:**

To approve the Annual Report

## **19 RESEARCH OPPORTUNITY HORIZON SCANNING**

### **Received and noted**

a report of major research initiatives currently open for application (N; 12-459)

## **20 LEAVE OF ABSENCE**

### **Noted:**

The following periods of paid leave, approved by Chair's action:

- (a) Prof. J. Cohen, Dept of English and Comparative Literature (24.09.12 – 14.12.12)
- (b) Prof. M. Dutton, Dept of Politics (01.01.13 – 31.03.13)
- (c) Mr. D. Fairfax, Dept of Design (26.04.12 – 15.06.12)
- (d) Dr. A. Gilroy, Dept of Social, Therapeutic and Community Studies (24.09.12 – 22.03.13)
- (e) Prof. E. Gregory, Dept of Educational Studies (24.09.12 – 14.12.12)
- (f) Dr. A. Kramer, Dept of English and Comparative Literature (24.09.12 – 14.12.12)
- (g) Prof. J. Martin, Dept of Politics (24.09.12 – 14.12.12)
- (h) Dr. C. Levy, Dept of Politics (24.09.12 – 14.12.12)
- (i) Prof. B. Morrison, Dept of English and Comparative Literature (24.09.12 – 31.12.12)
- (j) Dr. P. Odih, Dept of Sociology (01.10.12 – 31.12.12)
- (k) Dr. P. Pichler, Dept of English and Comparative Literature (24.09.12 – 14.12.12)
- (l) Dr. R. Sansi-Roca, Dept of Anthropology 24.03.12 – 31.12.12)

### **Noted:**

The following periods of unpaid leave, approved by Chair's action:

- (a) Prof. A. Ahmed, Dept of Media and Communications (01.01.13 – 31.03.13)

(b) Dr. S. Malik, Dept of Art (01.09.12 – 31.08.13)

**21 MEETING**

To note that the next meeting for 2012-13 will be held on:

Wednesday, 30 January 2013 at 2.00 pm, Room 110 DTHB

**RESERVED BUSINESS**

**22 OUTCOMES OF REF DRY RUN**

Received and noted:

A summary of REF Dry Run outcomes, and of the College REF Panel's interim (Phase 1) selection decisions. (12-169)

*LA, January 2013*